



FinNexus

SOLUTIONS

Bringing Clarity To Corporate Actions

An introduction to Finnexus Solutions

Finnexus Solutions is a software company that is specifically dedicated to providing solutions in the corporate actions field. The fact that we specialise is an important one. It allows us to dedicate our resources to keeping up to date with the ever evolving practices within the corporate actions market.

Corporate action processing is an area of significant risk — and one of the most manual, complex and challenging aspects of back-office operations globally. Today's environment is characterised by a lack of standard market practices and by labour-intensive processes, such as faxing and re-keying of information, all of which expose financial services firms to high costs, errors, customer-service issues and, potentially, financial loss.

Our strategy is to help clients manage risk, reduce costs and achieve greater levels automation. Given today's uncertain economic climate, we consider it an opportune time to re-examine processes and products with an eye towards improving efficiencies in areas of the industry that remain labour-intensive and risk-prone.

Our goal is to enable customers to handle higher volumes of corporate actions, reducing their costs and risks, while also providing greater processing resiliency as the global markets and economy recover.



Introducing Atlas

If you wish to improve corporate action processing automation and control, choose Atlas, a new advanced software solution designed to help you reduce costs, lower the risk of error and improve customer service.

Atlas has been built from the ground up to give you a fully customisable corporate action and dividend software package that has an elegantly simple, easy-to-learn interface and is designed for today's financial world.

Robust, scalable software customised for you

Atlas retains the functionality of the most advanced systems while remaining cost-effective for mid-sized financial Institutions.

Atlas supports all corporate action types, including mandatory, mandatory with options and voluntary events. Supported asset classes include fixed income, equities and derivatives. As we specialise in corporate actions, our system is built taking into account regional variations in corporate actions between Europe, Americas, Asia and Australia/New Zealand.

Atlas offers advanced security features, including tiered access rights based on action and seniority. Every action taken in the system is recorded and can be reviewed instantly, fulfilling all audit requirements.

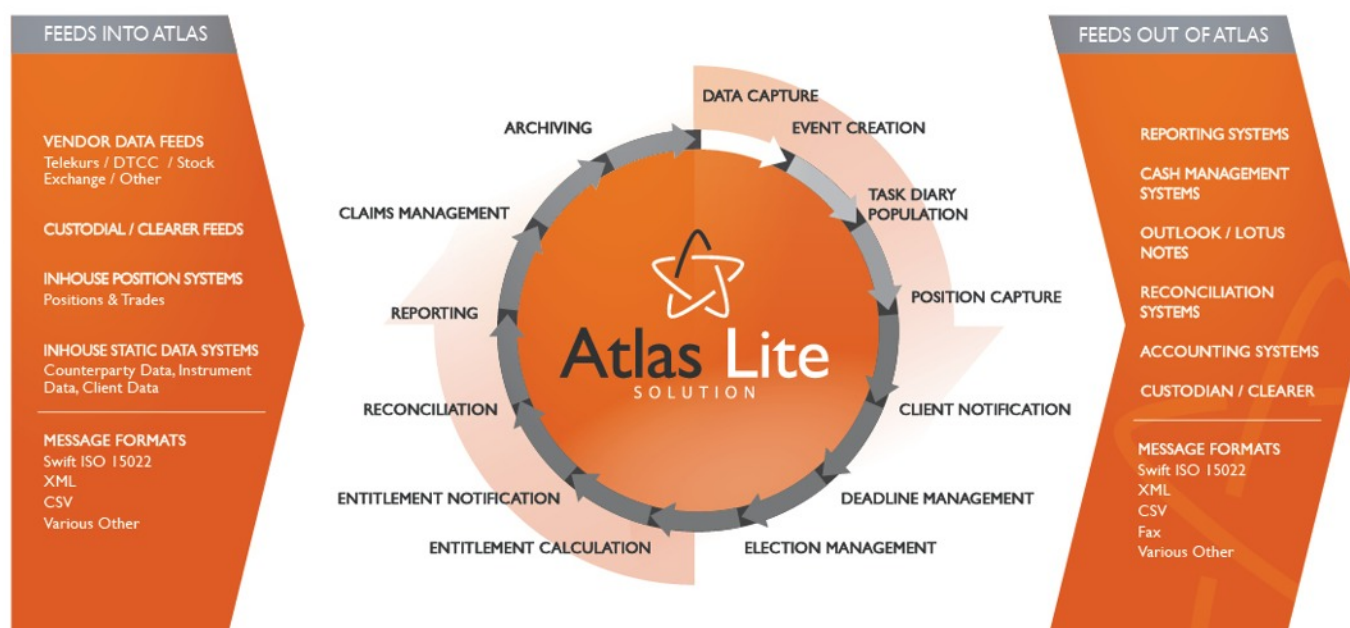
Designed with the processing resiliency to handle high volumes of corporate actions, Atlas reduces both cost and risk as the number of corporate actions increases. And once Atlas is installed, there will be no need to re-key or collate information from other sources. Everything will be centralised and easily accessible.

Benefits

- **Control Operational Risk**
Atlas standardises even the most complex corporate actions, thereby simplifying them. In addition Atlas dramatically increases the levels of automation. As a result the potential for operational risk and for financial loss, is greatly reduced.
- **Lower Operational Costs**
Atlas can automate most of the processes that need to be undertaken in the corporate action life-cycle, thereby allowing your team to focus on more time critical issues. Additionally your team will be better equipped to manage the peaks of the corporate actions season.
- **Improve Customer Service**
As Atlas manages the entire corporate actions life-cycle with little manual intervention, your clients will receive clearly formatted, accurate and timely notifications ensuring that your firms reputational risk is minimised .
- **Global Coverage**
Our systems have extensive event type and market coverage, taking into account, regional variations in corporate actions practices.
- **Quick Implementation**
As our team specialises in corporate actions, Atlas can also be implemented quickly. Working alongside your existing systems, we can have Atlas fully operational in as little as 10 weeks depending on your requirements.
- **Simple User Interface**
Atlas was designed with ease of use in mind. Processes are task driven and therefore laid out logically. Its easy to use interface will allow new users to pick it up quickly and perform tasks more efficiently.
- **ISO 15022 Compliant**
As the world of corporate actions moves towards SWIFT standardisation, Atlas has been developed to take this into account. Our solution allows the automated intake of MT 564,566,567,568 and automated sending of MT 565
- **Conform to Audit/Regulations**
As securities markets progress in complexity, the level of Audit and Regulatory requirements increase. Our solution has been developed with these in mind.



Atlas - System Overview



Total Event Management

Atlas supports the entire corporate actions event lifecycle, allowing flow and control of all parts of the process.

Inbound feeds

Atlas can take in many different feeds types and formats such as: ISO 15022, XML, CSV, as well as various other formats. As a result Atlas can receive corporate action data from any CA data vendor of your choosing or your custodian/clearer. Atlas can also receive in position data from your in-house position systems on a by-trade or by-position basis. In addition it can receive static data such as instrument, client, counterparty or settlement data from your in-house systems or you can manage static data within Atlas itself.

Outbound feeds

Atlas can deliver various data feeds types in the following formats : ISO 15022, XML, CSV, as well as various other formats. Depending on the level of automation you require Atlas can provide feeds to in-house systems that centrally manage reporting, reconciliation, accounting, cash management although Atlas can take care of these processes internally if required. In addition Atlas can sent SWIFT ISO 15022 MT 565's messages to your custodian/clearer.



Summary

If you feel that corporate actions is an area in which your firm needs to improve upon, Atlas could be the answer.

Atlas can:

- Control risk
- Reduce costs
- Improve customer service
- Conform to audit requirements
- Reduce errors
- Highlight arbitrage opportunities
- Be used easily and efficiently
- Be up and running in as little as 10 weeks depending on your requirements.

Further Information

If you would like to learn more about Atlas please contact us via email or phone. We would be happy to organise a call, meeting or demonstration.

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